Customer Identification & Verification

As required by the USA Patriot Act of 2001 and NASD Rule 3011, and as part of Transcend Capital's Anti-Money Laundering Program, we require all new account holders to provide appropriate documents for verifying their identity. Appropriate documents for verifying the identity of natural persons include the following:

- For US persons, an unexpired driver's license, passport, or other government identification showing nationality, residence, and photograph or other biometric safeguard;

- For non-U.S. persons, an unexpired alien registration card or other government issued identification showing nationality, residence and photograph or other biometric safeguard;

- For businesses, a certificate of incorporation, a government- issued business license, any partnership agreements, any corporate resolutions, or similar documents.

Please place a photocopy of your personal identification below or attach it on a separate sheet.